

1 STATE OF OKLAHOMA

2 2nd Session of the 57th Legislature (2020)

3 SENATE BILL 1837

By: Rader

4  
5  
6 AS INTRODUCED

7 An Act relating to professions and occupations;  
8 creating the Audiology and Speech-Language Pathology  
9 Interstate Compact; providing purpose; defining  
10 terms; providing for state participation in the  
11 Compact; providing for Compact privileges; directing  
12 state to recognize the practice of audiology or  
13 speech-language pathology through telehealth;  
14 providing authority for adverse action; providing for  
15 joint investigations; establishing the Audiology and  
16 Speech-Language Pathology Compact Commission;  
17 providing for membership, voting and meetings;  
18 providing powers and duties of the Commission;  
19 providing for an Executive Committee; providing for  
20 qualified immunity, defense, and indemnification;  
21 requiring the Commission to develop a database;  
22 requiring notice to all member states of any adverse  
23 action; providing for confidentiality of information;  
24 directing the Commission to promulgate rules;  
25 providing for notice of proposed rulemaking;  
26 providing for oversight, dispute resolution and  
27 enforcement; providing for date of implementation of  
28 the Interstate Commission for Audiology and Speech-  
29 Language Pathology practice and associated rules,  
30 withdrawal and amendment; providing for construction  
31 and severability; providing for binding effect of  
32 Compact and other laws; providing for codification;  
33 and providing an effective date.

34 BE IT ENACTED BY THE PEOPLE OF THE STATE OF OKLAHOMA:

1 SECTION 1. NEW LAW A new section of law to be codified  
2 in the Oklahoma Statutes as Section 1575 of Title 59, unless there  
3 is created a duplication in numbering, reads as follows:

4 The purpose of this Compact is to facilitate interstate practice  
5 of audiology and speech-language pathology with the goal of  
6 improving public access to audiology and speech-language pathology  
7 services. The practice of audiology and speech-language pathology  
8 occurs in the state where the patient, client, or student is located  
9 at the time of the patient, client, or student encounter. The  
10 Compact preserves the regulatory authority of states to protect  
11 public health and safety through the current system of state  
12 licensure. This Compact is designed to achieve the following  
13 objectives:

14 1. Increase public access to audiology and speech-language  
15 pathology services by providing for the mutual recognition of  
16 other member state licenses;

17 2. Enhance the states' ability to protect the public's health  
18 and safety;

19 3. Encourage the cooperation of member states in regulating  
20 multistate audiology and speech-language pathology practice;

21 4. Support spouses of relocating active duty military  
22 personnel;

23 5. Enhance the exchange of licensure, investigative and  
24 disciplinary information between member states;

1           6. Allow a remote state to hold a provider of services with a  
2 Compact privilege in that state accountable to that state's practice  
3 standards; and

4           7. Allow for the use of telehealth technology to facilitate  
5 increased access to audiology and speech-language pathology  
6 services.

7           SECTION 2.       NEW LAW       A new section of law to be codified  
8 in the Oklahoma Statutes as Section 1576 of Title 59, unless there  
9 is created a duplication in numbering, reads as follows:

10           As used in this Compact:

11           1. "Active duty military" means full-time duty status in the  
12 active uniformed service of the United States, including members of  
13 the National Guard and Reserve on active duty orders pursuant to 10  
14 U.S.C., Sections 1209 and 1211;

15           2. "Adverse action" means any administrative, civil, equitable  
16 or criminal action permitted by a state's laws which is imposed by a  
17 licensing board or other authority against an audiologist or speech  
18 language pathologist, including actions against an individual's  
19 license or privilege to practice such as revocation, suspension,  
20 probation, monitoring of the licensee, or restriction on the  
21 licensee's practice;

22           3. "Alternative program" means a nondisciplinary monitoring  
23 process approved by an audiology or speech-language pathology  
24 licensing board to address impaired practitioners;

1           4. "Audiologist" means an individual who is licensed by a state  
2 to practice audiology;

3           5. "Audiology" means the care and services provided by a  
4 licensed audiologist as set forth in the member state's statutes and  
5 rules;

6           6. "Audiology and Speech-Language Pathology Compact Commission"  
7 or "Commission" means the national administrative body whose  
8 membership consists of all states that have enacted the Compact;

9           7. "Audiology and speech-language pathology licensing board",  
10 "audiology licensing board", "speech-language pathology licensing  
11 board", or "licensing board" means the agency of a state that is  
12 responsible for the licensing and regulation of audiologists and/or  
13 speech-language pathologists;

14           8. "Compact privilege" means the authorization granted by a  
15 remote state to allow a licensee from another member state to  
16 practice as an audiologist or speech-language pathologist in the  
17 remote state under its laws and rules. The practice of audiology or  
18 speech-language pathology occurs in the member state where the  
19 patient, client, or student is located at the time of the patient,  
20 client, or student encounter;

21           9. "Current significant investigative information" means  
22 investigative information that a licensing board, after an inquiry  
23 or investigation that includes notification and an opportunity for  
24 the audiologist or speech-language pathologist to respond, if

1 required by state law, has reason to believe is not groundless and,  
2 if proved true, would indicate more than a minor infraction;

3 10. "Data system" means a repository of information about  
4 licensees, including, but not limited to, continuing education,  
5 examination, licensure, investigative, Compact privilege and adverse  
6 action;

7 11. "Encumbered license" means a license in which an adverse  
8 action restricts the practice of audiology or speech-language  
9 pathology by the licensee and said adverse action has been reported  
10 to the National Practitioners Data Bank (NPDB);

11 12. "Executive Committee" means a group of directors elected or  
12 appointed to act on behalf of, and within the powers granted to them  
13 by, the Commission;

14 13. "Home state" means the member state that is the licensee's  
15 primary state of residence;

16 14. "Impaired practitioner" means individuals whose  
17 professional practice is adversely affected by substance abuse,  
18 addiction, or other health-related conditions;

19 15. "Licensee" means an individual who currently holds an  
20 authorization from the state licensing board to practice as an  
21 audiologist or speech-language pathologist;

22 16. "Member state" means a state that has enacted the Compact;  
23  
24  
25

1 17. "Privilege to practice" means a legal authorization  
2 permitting the practice of audiology or speech-language pathology in  
3 a remote state;

4 18. "Remote state" means a member state other than the home  
5 state where a licensee is exercising or seeking to exercise the  
6 Compact privilege;

7 19. "Rule" means a regulation, principle or directive  
8 promulgated by the Commission that has the force of law;

9 20. "Single-state license" means an audiology or speech  
10 language pathology license issued by a member state that authorizes  
11 practice only within the issuing state and does not include a  
12 privilege to practice in any other member state;

13 21. "Speech-language pathologist" means an individual who is  
14 licensed by a state to practice speech-language pathology;

15 22. "Speech-language pathology" means the care and services  
16 provided by a licensed speech-language pathologist as set forth in  
17 the member state's statutes and rules;

18 23. "State" means any state, commonwealth, district or  
19 territory of the United States of America that regulates the  
20 practice of audiology and speech-language pathology;

21 24. "State practice laws" means a member state's laws, rules  
22 and regulations that govern the practice of audiology or speech  
23 language pathology, define the scope of audiology or speech-language  
24

1 pathology practice, and create the methods and grounds for imposing  
2 discipline; and

3 25. "Telehealth" means the application of telecommunication  
4 technology to deliver audiology or speech-language pathology  
5 services at a distance for assessment, intervention and/or  
6 consultation.

7 SECTION 3. NEW LAW A new section of law to be codified  
8 in the Oklahoma Statutes as Section 1577 of Title 59, unless there  
9 is created a duplication in numbering, reads as follows:

10 A. A license issued to an audiologist or speech-language  
11 pathologist by a home state to a resident in that state shall be  
12 recognized by each member state as authorizing an audiologist or  
13 speech-language pathologist to practice audiology or speech-language  
14 pathology, under a privilege to practice, in each member state.

15 B. A state shall implement or utilize procedures for  
16 considering the criminal history records of applicants for initial  
17 privilege to practice. These procedures shall include the  
18 submission of fingerprints or other biometric-based information by  
19 applicants for the purpose of obtaining an applicant's criminal  
20 history record information from the Federal Bureau of Investigation  
21 and the agency responsible for retaining that state's criminal  
22 records.

23 1. A member state shall fully implement a criminal background  
24 check requirement, within a time frame established by rule, by

1 receiving the results of the Federal Bureau of Investigation record  
2 search on criminal background checks and use the results in making  
3 licensure decisions.

4 2. Communication between a member state, the Commission and  
5 among member states regarding the verification of eligibility for  
6 licensure through the Compact shall not include any information  
7 received from the Federal Bureau of Investigation relating to a  
8 federal criminal records check performed by a member state under  
9 Public Law 92-544.

10 C. Upon application for a privilege to practice, the licensing  
11 board in the issuing remote state shall ascertain, through the data  
12 system, whether the applicant has ever held, or is the holder of, a  
13 license issued by any other state, whether there are any  
14 encumbrances on any license or privilege to practice held by the  
15 applicant, whether any adverse action has been taken against any  
16 license or privilege to practice held by the applicant.

17 D. Each member state shall require an applicant to obtain or  
18 retain a license in the home state and meet the home state's  
19 qualifications for licensure or renewal of licensure, as well as,  
20 all other applicable state laws.

21 E. An audiologist applicant shall:

22 1. Meet one of the following educational requirements:

23 a. on or before, December 31, 2007, has graduated with a  
24 master's degree or doctorate in audiology, or



1 equivalent degree regardless of degree name, from a  
2 program that is accredited by an accrediting agency  
3 recognized by the Council for Higher Education  
4 Accreditation, or its successor, or by the United  
5 States Department of Education and operated by a  
6 college or university accredited by a regional or  
7 national accrediting organization recognized by the  
8 board,

9 b. on or after, January 1, 2008, has graduated with a  
10 Doctoral degree in audiology, or equivalent degree,  
11 regardless of degree name, from a program that is  
12 accredited by an accrediting agency recognized by the  
13 Council for Higher Education Accreditation, or its  
14 successor, or by the United States Department of  
15 Education and operated by a college or university  
16 accredited by a regional or national accrediting  
17 organization recognized by the board, or

18 c. has graduated from an audiology program that is housed  
19 in an institution of higher education outside of the  
20 United States:

21 (1) for which the program and institution have been  
22 approved by the authorized accrediting body in  
23 the applicable country, and  
24

1 (2) the degree program has been verified by an  
2 independent credentials review agency to be  
3 comparable to a state licensing board-approved  
4 program;

5 2. Have completed a supervised clinical practicum experience  
6 from an accredited educational institution or its cooperating  
7 programs as required by the board;

8 3. Have successfully passed a national examination approved by  
9 the Commission;

10 4. Hold an active, unencumbered license;

11 5. Have not been convicted or found guilty, and has not entered  
12 into an agreed disposition, of a felony related to the practice of  
13 audiology, under applicable state or federal criminal law; and

14 6. Have a valid United States social security or National  
15 Practitioner Identification number.

16 F. A speech-language pathologist applicant shall:

17 1. Meet one of the following educational requirements:

18 a. has graduated with a master's degree from a speech  
19 language pathology program that is accredited by an  
20 organization recognized by the United States  
21 Department of Education and operated by a college or  
22 university accredited by a regional or national  
23 accrediting organization recognized by the board, or

1           b. has graduated from a speech-language pathology program  
2           that is housed in an institution of higher education  
3           outside of the United States:

4           (1) for which the program and institution have been  
5           approved by the authorized accrediting body in  
6           the applicable country, and

7           (2) the degree program has been verified by an  
8           independent credentials review agency to be  
9           comparable to a state-licensing-board-approved  
10          program;

11          2. Have completed a supervised clinical practicum experience  
12          from an educational institution or its cooperating programs as  
13          required by the Commission;

14          3. Have completed a supervised postgraduate professional  
15          experience as required by the Commission;

16          4. Have successfully passed a national examination approved by  
17          the Commission;

18          5. Hold an active, unencumbered license;

19          6. Have not been convicted or found guilty, and has not entered  
20          into an agreed disposition, of a felony related to the practice of  
21          speech-language pathology, under applicable state or federal  
22          criminal law; and

23          7. Have a valid United States social security or National  
24          Practitioner Identification number.

1 G. The privilege to practice is derived from the home state  
2 license.

3 H. An audiologist or speech-language pathologist practicing in  
4 a member state shall comply with the state practice laws of the  
5 state in which the client is located at the time service is  
6 provided. The practice of audiology and speech-language pathology  
7 shall include all audiology and speech-language pathology practice  
8 as defined by the state practice laws of the member state in which  
9 the client is located. The practice of audiology and speech  
10 language pathology in a member state under a privilege to practice  
11 shall subject an audiologist or speech-language pathologist to the  
12 jurisdiction of the licensing board, the courts and the laws of the  
13 member state in which the client is located at the time service is  
14 provided.

15 I. Individuals not residing in a member state shall continue to  
16 be able to apply for a member state's single-state license as  
17 provided under the laws of each member state. However, the single  
18 state license granted to these individuals shall not be recognized  
19 as granting the privilege to practice audiology or speech-language  
20 pathology in any other member state. Nothing in this Compact  
21 shall affect the requirements established by a member state for the  
22 issuance of a single-state license.

23 J. Member states may charge a fee for granting a Compact  
24 privilege.

1 K. Member states shall comply with the bylaws and rules and  
2 regulations of the Commission.

3 SECTION 4. NEW LAW A new section of law to be codified  
4 in the Oklahoma Statutes as Section 1578 of Title 59, unless there  
5 is created a duplication in numbering, reads as follows:

6 A. To exercise the Compact privilege under the terms and  
7 provisions of the Compact, the audiologist or speech-language  
8 pathologist shall:

- 9 1. Hold an active license in the home state;
- 10 2. Have no encumbrance on any state license;
- 11 3. Be eligible for a compact privilege in any member state in  
12 accordance with Section 3 of this act;
- 13 4. Have not had any adverse action against any license or  
14 Compact privilege within the previous two (2) years from date of  
15 application;
- 16 5. Notify the Commission that the licensee is seeking the  
17 Compact privilege within a remote state(s);
- 18 6. Pay any applicable fees, including any state fee, for the  
19 Compact privilege; and
- 20 7. Report to the Commission adverse action taken by any  
21 nonmember state within thirty (30) days from the date the adverse  
22 action is taken.

1 B. For the purposes of the Compact privilege, an audiologist or  
2 speech-language pathologist shall only hold one home state license  
3 at a time.

4 C. Except as provided in Section 6 of this act, if an  
5 audiologist or speech-language pathologist changes primary state of  
6 residence by moving between two-member states, the audiologist or  
7 speech-language pathologist shall apply for licensure in the new  
8 home state, and the license issued by the prior home state shall be  
9 deactivated in accordance with applicable rules adopted by the  
10 Commission.

11 D. The audiologist or speech-language pathologist may apply for  
12 licensure in advance of a change in primary state of residence.

13 E. A license shall not be issued by the new home state until  
14 the audiologist or speech-language pathologist provides satisfactory  
15 evidence of a change in primary state of residence to the new home  
16 state and satisfies all applicable requirements to obtain a license  
17 from the new home state.

18 F. If an audiologist or speech-language pathologist changes  
19 primary state of residence by moving from a member state to a  
20 nonmember state, the license issued by the prior home state shall  
21 convert to a single-state license, valid only in the former home  
22 state.

23 G. The compact privilege is valid until the expiration date of  
24 the home state license. The licensee shall comply with the

1 requirements of subsection A of this section to maintain the Compact  
2 privilege in the remote state.

3 H. A licensee providing audiology or speech-language pathology  
4 services in a remote state under the Compact privilege shall  
5 function within the laws and regulations of the remote state.

6 I. A licensee providing audiology or speech-language pathology  
7 services in a remote state is subject to that state's regulatory  
8 authority. A remote state may, in accordance with due process and  
9 that state's laws, remove a licensee's Compact privilege in the  
10 remote state for a specific period of time, impose fines, and/or  
11 take any other necessary actions to protect the health and safety of  
12 its citizens.

13 J. If a home state license is encumbered, the licensee shall  
14 lose the Compact privilege in any remote state until the following  
15 occur:

- 16 1. The home state license is no longer encumbered; and
- 17 2. Two (2) years have elapsed from the date of the adverse  
18 action.

19 K. Once an encumbered license in the home state is restored to  
20 good standing, the licensee shall meet the requirements of  
21 subsection A of this section to obtain a Compact privilege in any  
22 remote state.

1 L. Once the requirements of subsection J of this section have  
2 been met, the licensee shall meet the requirements in subsection A  
3 of this section to obtain a Compact privilege in a remote state.

4 SECTION 5. NEW LAW A new section of law to be codified  
5 in the Oklahoma Statutes as Section 1579 of Title 59, unless there  
6 is created a duplication in numbering, reads as follows:

7 Member states shall recognize the right of an audiologist or  
8 speech-language pathologist, licensed by a home state in accordance  
9 with Section 3 of this act and under rules promulgated by the  
10 Commission, to practice audiology or speech-language pathology in  
11 any member state via telehealth under a privilege to practice as  
12 provided in the Compact and rules promulgated by the Commission.

13 SECTION 6. NEW LAW A new section of law to be codified  
14 in the Oklahoma Statutes as Section 1580 of Title 59, unless there  
15 is created a duplication in numbering, reads as follows:

16 Active duty military personnel, or their spouse, shall designate  
17 a home state where the individual has a current license in good  
18 standing. The individual may retain the home state designation  
19 during the period the service member is on active duty. Subsequent  
20 to designating a home state, the individual shall only change their  
21 home state through application for licensure in the new state.

22 SECTION 7. NEW LAW A new section of law to be codified  
23 in the Oklahoma Statutes as Section 1581 of Title 59, unless there  
24 is created a duplication in numbering, reads as follows:



1 A. In addition to the other powers conferred by state law, a  
2 remote state shall have the authority, in accordance with existing  
3 state due process law, to:

4 1. Take adverse action against an audiologist's or speech  
5 language pathologist's privilege to practice within that member  
6 state;

7 2. Issue subpoenas for both hearings and investigations that  
8 require the attendance and testimony of witnesses as well as the  
9 production of evidence. Subpoenas issued by a licensing board in a  
10 member state for the attendance and testimony of witnesses or the  
11 production of evidence from another member state shall be enforced  
12 in the latter state by any court of competent jurisdiction,  
13 according to the practice and procedure of that court applicable to  
14 subpoenas issued in proceedings pending before it. The issuing  
15 authority shall pay any witness fees, travel expenses, mileage and  
16 other fees required by the service statutes of the state in which  
17 the witnesses or evidence are located; and

18 3. Only the home state shall have the power to take adverse  
19 action against an audiologist's or speech-language pathologist's  
20 license issued by the home state.

21 B. For purposes of taking adverse action, the home state shall  
22 give the same priority and effect to reported conduct received from  
23 a member state as it would if the conduct had occurred within the  
24

1 home state. In so doing, the home state shall apply its own state  
2 laws to determine appropriate action.

3 C. The home state shall complete any pending investigations of  
4 an audiologist or speech-language pathologist who changes primary  
5 state of residence during the course of the investigations. The  
6 home state shall also have the authority to take appropriate  
7 action(s) and shall promptly report the conclusions of the  
8 investigations to the administrator of the data system. The  
9 administrator of the coordinated licensure information system shall  
10 promptly notify the new home state of any adverse actions.

11 D. If otherwise permitted by state law, the home state shall  
12 recover from the affected audiologist or speech-language pathologist  
13 the costs of investigations and disposition of cases resulting from  
14 any adverse action taken against that audiologist or speech-language  
15 pathologist.

16 E. The home state shall take adverse action based on the  
17 factual findings of the remote state; provided, that the home state  
18 follows its own procedures for taking the adverse action.

19 F. Joint Investigations.

20 1. In addition to the authority granted to a member state by  
21 its respective audiology or speech-language pathology practice act  
22 or other applicable state law, any member state may participate with  
23 other member states in joint investigations of licensees.

1           2. Member states shall share any investigative, litigation, or  
2 compliance materials in furtherance of any joint or individual  
3 investigation initiated under the Compact.

4           G. If adverse action is taken by the home state against an  
5 audiologist's or speech language pathologist's license, the  
6 audiologist's or speech-language pathologist's privilege to practice  
7 in all other member states shall be deactivated until all  
8 encumbrances have been removed from the state license. All home  
9 state disciplinary orders that impose adverse action against an  
10 audiologist's or speech language pathologist's license shall include  
11 a statement that the audiologist's or speech-language pathologist's  
12 privilege to practice is deactivated in all member states during the  
13 pendency of the order.

14           H. If a member state takes adverse action, it shall promptly  
15 notify the administrator of the data system. The administrator of  
16 the data system shall promptly notify the home state of any adverse  
17 actions by remote states.

18           I. Nothing in this Compact shall override a member state's  
19 decision that participation in an alternative program may be used in  
20 lieu of adverse action.

21           SECTION 8.       NEW LAW       A new section of law to be codified  
22 in the Oklahoma Statutes as Section 1582 of Title 59, unless there  
23 is created a duplication in numbering, reads as follows:

1           A. The Compact member states hereby create and establish a  
2 joint public agency known as the Audiology and Speech-Language  
3 Pathology Compact Commission.

4           1. The Commission is an instrumentality of the Compact states.

5           2. Venue is proper and judicial proceedings by or against the  
6 Commission shall be brought solely and exclusively in a court of  
7 competent jurisdiction where the principal office of the Commission  
8 is located. The Commission may waive venue and jurisdictional  
9 defenses to the extent it adopts or consents to participate in  
10 alternative dispute resolution proceedings.

11           3. Nothing in this Compact shall be construed to be a waiver of  
12 sovereign immunity.

13           B. Membership, Voting and Meetings.

14           1. Each member state shall have two delegates selected by that  
15 member state's licensing board. The delegates shall be current  
16 members of the licensing board. One shall be an audiologist and one  
17 shall be a speech-language pathologist.

18           2. An additional five delegates, who are either a public member  
19 or board administrator from a state licensing board, shall be chosen  
20 by the Executive Committee from a pool of nominees provided by the  
21 Commission at large.

22           3. Any delegate may be removed or suspended from office as  
23 provided by the law of the state from which the delegate is  
24 appointed.

1 4. The member state board shall fill any vacancy occurring on  
2 the Commission, within ninety (90) days.

3 5. Each delegate shall be entitled to one vote with regard to  
4 the promulgation of rules and creation of bylaws and shall otherwise  
5 have an opportunity to participate in the business and affairs of  
6 the Commission.

7 6. A delegate shall vote in person or by other means as  
8 provided in the bylaws. The bylaws may provide for delegates'  
9 participation in meetings by telephone or other means of  
10 communication.

11 7. The Commission shall meet at least once during each calendar  
12 year. Additional meetings shall be held as set forth in the bylaws.

13 C. The Commission shall have the following powers and duties:

14 1. Establish the fiscal year of the Commission;

15 2. Establish bylaws;

16 3. Establish a Code of Ethics;

17 4. Maintain its financial records in accordance with the  
18 bylaws;

19 5. Meet and take actions as are consistent with the provisions  
20 of this Compact and the bylaws;

21 6. Promulgate uniform rules to facilitate and coordinate  
22 implementation and administration of this Compact. The rules shall  
23 have the force and effect of law and shall be binding in all member  
24 states;

1 7. Bring and prosecute legal proceedings or actions in the name  
2 of the Commission, provided that the standing of any state audiology  
3 or speech-language pathology licensing board to sue or be sued under  
4 applicable law shall not be affected;

5 8. Purchase and maintain insurance and bonds;

6 9. Borrow, accept, or contract for services of personnel,  
7 including, but not limited to, employees of a member state;

8 10. Hire employees, elect or appoint officers, fix  
9 compensation, define duties, grant individuals appropriate authority  
10 to carry out the purposes of the Compact, and to establish the  
11 Commission's personnel policies and programs relating to conflicts  
12 of interest, qualifications of personnel, and other related  
13 personnel matters;

14 11. Accept any and all appropriate donations and grants of  
15 money, equipment, supplies, materials and services, and to receive,  
16 utilize and dispose of the same; provided, that at all times the  
17 Commission shall avoid any appearance of impropriety and/or conflict  
18 of interest;

19 12. Lease, purchase, accept appropriate gifts or donations of,  
20 or otherwise to own, hold, improve or use, any property, real,  
21 personal or mixed; provided, that at all times the Commission shall  
22 avoid any appearance of impropriety;

23 13. Sell, convey, mortgage, pledge, lease, exchange, abandon,  
24 or otherwise dispose of any property real, personal, or mixed;

1 14. Establish a budget and make expenditures;

2 15. Borrow money;

3 16. Appoint committees, including standing committees composed  
4 of members, and other interested persons as may be designated in  
5 this Compact and the bylaws;

6 17. Provide and receive information from, and cooperate with,  
7 law enforcement agencies;

8 18. Establish and elect an Executive Committee; and

9 19. Perform other functions as may be necessary or appropriate  
10 to achieve the purposes of this Compact consistent with the state  
11 regulation of audiology and speech-language pathology licensure and  
12 practice.

13 D. The Executive Committee shall have the power to act on  
14 behalf of the Commission according to the terms of this Compact.

15 The Executive Committee shall be composed of ten (10) members:

16 1. Seven voting members who are elected by the Commission from  
17 the current membership of the Commission;

18 2. Two ex officios, consisting of one nonvoting member from a  
19 recognized national audiology professional association and one  
20 nonvoting member from a recognized national speech-language  
21 pathology association; and

22 3. One ex officio, nonvoting member from the recognized  
23 membership organization of the audiology and speech-language  
24 pathology licensing boards.

1 E. The ex officio members shall be selected by their respective  
2 organizations.

3 1. The Commission may remove any member of the Executive  
4 Committee as provided in bylaws.

5 2. The Executive Committee shall meet at least annually.

6 3. The Executive Committee shall have the following duties and  
7 responsibilities:

8 a. recommend to the entire Commission changes to the  
9 rules or bylaws, changes to this Compact legislation,  
10 fees paid by Compact member states such as annual  
11 dues, and any commission Compact fee charged to  
12 licensees for the compact privilege,

13 b. ensure Compact administration services are  
14 appropriately provided, contractual or otherwise,

15 c. prepare and recommend the budget,

16 d. maintain financial records on behalf of the  
17 Commission,

18 e. monitor Compact compliance of member states and  
19 provide compliance reports to the Commission,

20 f. establish additional committees as necessary, and

21 g. other duties as provided in rules or bylaws.

22 4. Meetings of the Commission shall be open to the public, and  
23 public notice of meetings shall be given in the same manner as  
24 required under the rulemaking provisions in Section 10 of this act.



1           5. The Commission or the Executive Committee or other  
2 committees of the Commission may convene in a closed, nonpublic  
3 meeting if the Commission or Executive Committee or other committees  
4 of the Commission shall discuss:

- 5           a. noncompliance of a member state with its obligations  
6                 under the Compact,
- 7           b. the employment, compensation, discipline or other  
8                 matters, practices or procedures related to specific  
9                 employees or other matters related to the Commission's  
10                internal personnel practices and procedures,
- 11          c. current, threatened, or reasonably anticipated  
12                 litigation,
- 13          d. negotiation of contracts for the purchase, lease, or  
14                 sale of goods, services, or real estate,
- 15          e. accusing any person of a crime or formally censuring  
16                 any person,
- 17          f. disclosure of trade secrets or commercial or financial  
18                 information that is privileged or confidential,
- 19          g. disclosure of information of a personal nature where  
20                 disclosure would constitute a clearly unwarranted  
21                 invasion of personal privacy,
- 22          h. disclosure of investigative records compiled for law  
23                 enforcement purposes,

- 1 i. disclosure of information related to any investigative  
2 reports prepared by or on behalf of or for use of the  
3 Commission or other committee charged with  
4 responsibility of investigation or determination of  
5 compliance issues pursuant to the Compact, or  
6 j. matters specifically exempted from disclosure by  
7 federal or member state statute.

8 6. If a meeting, or portion of a meeting, is closed pursuant to  
9 this provision, the Commission's legal counsel or designee shall  
10 certify that the meeting may be closed and shall reference each  
11 relevant exempting provision.

12 7. The Commission shall keep minutes that fully and clearly  
13 describe all matters discussed in a meeting and shall provide a full  
14 and accurate summary of actions taken, and the reasons therefore,  
15 including a description of the views expressed. All documents  
16 considered in connection with an action shall be identified in  
17 minutes. All minutes and documents of a closed meeting shall remain  
18 under seal, subject to release by a majority vote of the Commission  
19 or order of a court of competent jurisdiction.

20 8. Financing of the Commission:

- 21 a. the Commission shall pay, or provide for the payment  
22 of, the reasonable expenses of its establishment,  
23 organization, and ongoing activities,  
24

- 1           b.    the Commission may accept any and all appropriate  
2                    revenue sources, donations, and grants of money,  
3                    equipment, supplies, materials, and services, and  
4           c.    the Commission may levy on and collect an annual  
5                    assessment from each member state or impose fees on  
6                    other parties to cover the cost of the operations and  
7                    activities of the Commission and its staff, which must  
8                    be in a total amount sufficient to cover its annual  
9                    budget as approved each year for which revenue is not  
10                   provided by other sources.  The aggregate annual  
11                   assessment amount shall be allocated based upon a  
12                   formula to be determined by the Commission, which  
13                   shall promulgate a rule binding upon all member  
14                   states.

15           9.    The Commission shall not incur obligations of any kind prior  
16           to securing the funds adequate to meet the same; nor shall the  
17           Commission pledge the credit of any of the member states, except by  
18           and with the authority of the member state.

19           10.   The Commission shall keep accurate accounts of all receipts  
20           and disbursements.  The receipts and disbursements of the Commission  
21           shall be subject to the audit and accounting procedures established  
22           under its bylaws.  However, all receipts and disbursements of funds  
23           handled by the Commission shall be audited yearly by a certified or  
24

1 licensed public accountant, and the report of the audit shall be  
2 included in and become part of the annual report of the Commission.

3 F. Qualified Immunity, Defense, and Indemnification:

4 1. The members, officers, executive director, employees and  
5 representatives of the Commission shall be immune from suit and  
6 liability, either personally or in their official capacity, for any  
7 claim for damage to or loss of property or personal injury or other  
8 civil liability caused by or arising out of any actual or alleged  
9 act, error or omission that occurred, or that the person against  
10 whom the claim is made had a reasonable basis for believing occurred  
11 within the scope of Commission employment, duties or  
12 responsibilities; provided that nothing in this paragraph shall be  
13 construed to protect any person from suit and/or liability for any  
14 damage, loss, injury, or liability caused by the intentional or  
15 willful or wanton misconduct of that person.

16 2. The Commission shall defend any member, officer, executive  
17 director, employee or representative of the Commission in any civil  
18 action seeking to impose liability arising out of any actual or  
19 alleged act, error, or omission that occurred within the scope of  
20 Commission employment, duties, or responsibilities, or that the  
21 person against whom the claim is made had a reasonable basis for  
22 believing occurred within the scope of Commission employment,  
23 duties, or responsibilities; provided, that nothing herein shall be  
24 construed to prohibit that person from retaining his or her own

1 counsel; and provided further, that the actual or alleged act,  
2 error, or omission did not result from that person's intentional or  
3 willful or wanton misconduct.

4 3. The Commission shall indemnify and hold harmless any member,  
5 officer, executive director, employee, or representative of the  
6 Commission for the amount of any settlement or judgment obtained  
7 against that person arising out of any actual or alleged act, error  
8 or omission that occurred within the scope of Commission employment,  
9 duties, or responsibilities, or that person had a reasonable basis  
10 for believing occurred within the scope of Commission employment,  
11 duties, or responsibilities, provided that the actual or alleged  
12 act, error, or omission did not result from the intentional or  
13 willful or wanton misconduct of that person.

14 SECTION 9. NEW LAW A new section of law to be codified  
15 in the Oklahoma Statutes as Section 1583 of Title 59, unless there  
16 is created a duplication in numbering, reads as follows:

17 A. The Commission shall provide for the development,  
18 maintenance, and utilization of a coordinated database and reporting  
19 system containing licensure, adverse action, and investigative  
20 information on all licensed individuals in member states.

21 B. Notwithstanding any other provision of state law to the  
22 contrary, a member state shall submit a uniform data set to the data  
23 system on all individuals to whom this Compact is applicable as  
24 required by the rules of the Commission, including:

1 1. Identifying information;

2 2. Licensure data;

3 3. Adverse actions against a license or Compact privilege;

4 4. Nonconfidential information related to alternative program  
5 participation;

6 5. Any denial of application for licensure, and the reason(s)  
7 for denial; and

8 6. Other information that may facilitate the administration of  
9 this Compact, as determined by the rules of the Commission.

10 C. Investigative information pertaining to a licensee in any  
11 member state shall only be available to other member states.

12 D. The Commission shall promptly notify all member states of  
13 any adverse action taken against a licensee or an individual  
14 applying for a license. Adverse action information pertaining to a  
15 licensee in any member state shall be available to any other member  
16 state.

17 E. Member states contributing information to the data system  
18 may designate information that shall not be shared with the public  
19 without the express permission of the contributing state.

20 F. Any information submitted to the data system that is  
21 subsequently required to be expunged by the laws of the member state  
22 contributing the information shall be removed from the data system.  
23  
24  
25

1 SECTION 10. NEW LAW A new section of law to be codified  
2 in the Oklahoma Statutes as Section 1584 of Title 59, unless there  
3 is created a duplication in numbering, reads as follows:

4 A. The Commission shall exercise its rulemaking powers pursuant  
5 to the criteria set forth in this section and the rules adopted  
6 thereunder. Rules and amendments shall become binding as of the  
7 date specified in each rule or amendment.

8 B. If a majority of the legislatures of the member states  
9 rejects a rule, by enactment of a statute or resolution in the same  
10 manner used to adopt the Compact within four (4) years of the date  
11 of adoption of the rule, the rule shall have no further force and  
12 effect in any member state.

13 C. Rules or amendments to the rules shall be adopted at a  
14 regular or special meeting of the Commission.

15 D. Prior to promulgation and adoption of a final rule or rules  
16 by the Commission, and at least thirty (30) days in advance of the  
17 meeting at which the rule shall be considered and voted upon, the  
18 Commission shall file a Notice of Proposed Rulemaking:

19 1. On the website of the Commission or other publicly  
20 accessible platform; and

21 2. On the website of each member state audiology or speech  
22 language pathology licensing board or other publicly accessible  
23 platform or the publication in which each state would otherwise  
24 publish proposed rules.

1 E. The Notice of Proposed Rulemaking shall include:

2 1. The proposed time, date, and location of the meeting in  
3 which the rule shall be considered and voted upon;

4 2. The text of the proposed rule or amendment and the reason  
5 for the proposed rule;

6 3. A request for comments on the proposed rule from any  
7 interested person; and

8 4. The manner in which interested persons may submit notice to  
9 the Commission of their intention to attend the public hearing and  
10 any written comments.

11 F. Prior to the adoption of a proposed rule, the Commission  
12 shall allow persons to submit written data, facts, opinions and  
13 arguments, which shall be made available to the public.

14 G. The Commission shall grant an opportunity for a public  
15 hearing before it adopts a rule or amendment if a hearing is  
16 requested by:

17 1. At least twenty-five persons;

18 2. A state or federal governmental subdivision or agency; or

19 3. An association having at least twenty-five members.

20 H. If a hearing is held on the proposed rule or amendment, the  
21 Commission shall publish the place, time, and date of the scheduled  
22 public hearing. If the hearing is held via electronic means, the  
23 Commission shall publish the mechanism for access to the electronic  
24 hearing.



1 1. All persons wishing to be heard at the hearing shall notify  
2 the executive director of the Commission or other designated member  
3 in writing of their desire to appear and testify at the hearing not  
4 less than five (5) business days before the scheduled date of the  
5 hearing.

6 2. Hearings shall be conducted in a manner providing each  
7 person who wishes to comment a fair and reasonable opportunity to  
8 comment orally or in writing.

9 3. All hearings shall be recorded. A copy of the recording  
10 shall be made available on request.

11 4. Nothing in this section shall be construed as requiring a  
12 separate hearing on each rule. Rules may be grouped for the  
13 convenience of the Commission at hearings required by this section.

14 I. Following the scheduled hearing date, or by the close of  
15 business on the scheduled hearing date if the hearing was not held,  
16 the Commission shall consider all written and oral comments  
17 received.

18 J. If no written notice of intent to attend the public hearing  
19 by interested parties is received, the Commission may proceed with  
20 promulgation of the proposed rule without a public hearing.

21 K. The Commission shall, by majority vote of all members, take  
22 final action on the proposed rule and shall determine the effective  
23 date of the rule, if any, based on the rulemaking record and the  
24 full text of the rule.

1 L. Upon determination that an emergency exists, the Commission  
2 may consider and adopt an emergency rule without prior notice,  
3 opportunity for comment, or hearing, provided that the usual  
4 rulemaking procedures provided in the Compact and in this section  
5 shall be retroactively applied to the rule as soon as reasonably  
6 possible, in no event later than ninety (90) days after the  
7 effective date of the rule. For the purposes of this provision, an  
8 emergency rule is one that shall be adopted immediately in order to:

9 1. Meet an imminent threat to public health, safety, or  
10 welfare;

11 2. Prevent a loss of Commission or member state funds; or

12 3. Meet a deadline for the promulgation of an administrative  
13 rule that is established by federal law or rule.

14 M. The Commission or an authorized committee of the Commission  
15 may direct revisions to a previously adopted rule or amendment for  
16 purposes of correcting typographical errors, errors in format,  
17 errors in consistency, or grammatical errors. Public notice of any  
18 revisions shall be posted on the website of the Commission. The  
19 revision shall be subject to challenge by any person for a period of  
20 thirty (30) days after posting. The revision may be challenged only  
21 on grounds that the revision results in a material change to a rule.  
22 A challenge shall be made in writing and delivered to the chair of  
23 the Commission prior to the end of the notice period. If no  
24 challenge is made, the revision shall take effect without further

1 action. If the revision is challenged, the revision may not take  
2 effect without the approval of the Commission.

3 SECTION 11. NEW LAW A new section of law to be codified  
4 in the Oklahoma Statutes as Section 1585 of Title 59, unless there  
5 is created a duplication in numbering, reads as follows:

6 A. Dispute Resolution.

7 1. Upon request by a member state, the Commission shall attempt  
8 to resolve disputes related to the Compact that arise among member  
9 states and between member and nonmember states.

10 2. The Commission shall promulgate a rule providing for both  
11 mediation and binding dispute resolution for disputes as  
12 appropriate.

13 B. Enforcement.

14 1. The Commission, in the reasonable exercise of its  
15 discretion, shall enforce the provisions and rules of this Compact.

16 2. By majority vote, the Commission may initiate legal action  
17 in the United States District Court for the District of Columbia or  
18 the federal district where the Commission has its principal offices  
19 against a member state in default to enforce compliance with the  
20 provisions of the Compact and its promulgated rules and bylaws. The  
21 relief sought may include both injunctive relief and damages. In  
22 the event judicial enforcement is necessary, the prevailing member  
23 shall be awarded all costs of litigation, including reasonable  
24 attorney fees.

1           3. The remedies herein shall not be the exclusive remedies of  
2 the Commission. The Commission may pursue any other remedies  
3 available under federal or state law.

4           SECTION 12.           NEW LAW           A new section of law to be codified  
5 in the Oklahoma Statutes as Section 1586 of Title 59, unless there  
6 is created a duplication in numbering, reads as follows:

7           A. The Compact shall come into effect on the date on which the  
8 Compact statute is enacted into law in the tenth member state. The  
9 provisions, which become effective at that time, shall be limited to  
10 the powers granted to the Commission relating to assembly and the  
11 promulgation of rules. Thereafter, the Commission shall meet and  
12 exercise rulemaking powers necessary to the implementation and  
13 administration of the Compact.

14           B. Any state that joins the Compact subsequent to the  
15 Commission's initial adoption of the rules shall be subject to the  
16 rules as they exist on the date on which the Compact becomes law in  
17 that state. Any rule that has been previously adopted by the  
18 Commission shall have the full force and effect of law on the day  
19 the Compact becomes law in that state.

20           C. Any member state may withdraw from this Compact by enacting  
21 a statute repealing the same.

22           1. A member state's withdrawal shall not take effect until six  
23 (6) months after enactment of the repealing statute.

1           2. Withdrawal shall not affect the continuing requirement of  
2 the withdrawing state's audiology or speech-language pathology  
3 licensing board to comply with the investigative and adverse action  
4 reporting requirements of this act prior to the effective date of  
5 withdrawal.

6           D. Nothing contained in this Compact shall be construed to  
7 invalidate or prevent any audiology or speech-language pathology  
8 licensure agreement or other cooperative arrangement between a  
9 member state and a nonmember state that does not conflict with the  
10 provisions of this Compact.

11           E. This Compact may be amended by the member states. No  
12 amendment to this Compact shall become effective and binding upon  
13 any member state until it is enacted into the laws of all member  
14 states.

15           SECTION 13.       NEW LAW       A new section of law to be codified  
16 in the Oklahoma Statutes as Section 1587 of Title 59, unless there  
17 is created a duplication in numbering, reads as follows:

18           This Compact shall be liberally construed so as to effectuate  
19 the purposes thereof. The provisions of this Compact shall be  
20 severable and if any phrase, clause, sentence or provision of this  
21 Compact is declared to be contrary to the constitution of any member  
22 state or of the United States or the applicability thereof to any  
23 government, agency, person or circumstance is held invalid, the  
24 validity of the remainder of this Compact and the applicability

1 thereof to any government, agency, person or circumstance shall not  
2 be affected thereby. If this Compact shall be held contrary to the  
3 constitution of any member state, the Compact shall remain in full  
4 force and effect as to the remaining member states and in full force  
5 and effect as to the member state affected as to all severable  
6 matters.

7 SECTION 14. NEW LAW A new section of law to be codified  
8 in the Oklahoma Statutes as Section 1588 of Title 59, unless there  
9 is created a duplication in numbering, reads as follows:

10 A. Nothing herein prevents the enforcement of any other law of  
11 a member state that is not inconsistent with the Compact.

12 B. All laws in a member state in conflict with the Compact are  
13 superseded to the extent of the conflict.

14 C. All lawful actions of the Commission, including all rules  
15 and bylaws promulgated by the Commission, are binding upon the  
16 member states.

17 D. All agreements between the Commission and the member states  
18 are binding in accordance with their terms.

19 E. In the event any provision of the Compact exceeds the  
20 constitutional limits imposed on the legislature of any member  
21 state, the provision shall be ineffective to the extent of the  
22 conflict with the constitutional provision in question in that  
23 member state.

1 SECTION 15. This act shall become effective November 1, 2020.

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